

## ANNEXURE E

### Form No. MGT-9

#### EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

#### I. REGISTRATION AND OTHER DETAILS:

- (i) CIN: L85110KA1984PLC006116
- (ii) Registration Date: 25/05/1984
- (iii) Name of the Company: **DISA INDIA LIMITED**
- (iv) Category/Sub-Category of the Company: Company limited by shares and Indian Non Government Company
- (v) Address of the Registered office and contact details:  
5th Floor, Kushal Garden Arcade, 1A Peenya Industrial Area,  
Peenya 2nd Phase, Bangalore - 560058, Karnataka.  
Tel.: 080-40201400/03/04 Fax: 080-28391661  
Email: [investor.relations@noricangroup.com](mailto:investor.relations@noricangroup.com)  
Website: <https://www.disagroup.com/en-in>
- (vi) Whether Listed Company: Yes
- (vii) Name, Address and Contact details of Registrar and Transfer Agent:  
Integrated Registry Management Services Private Limited [Formerly known as Integrated Enterprises (India) Ltd] ,30, Ramana Residency, 4th Cross, Sampige Road,  
Malleshwaram, Bangalore - 560003, Karnataka.  
Tel.: 080 - 23460815 to 818, Fax: 080 – 23460819, Email: [corpserv@integratedindia.in](mailto:corpserv@integratedindia.in)  
Website: [www.integratedindia.in](http://www.integratedindia.in)

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

S No	Name and Description of main products/services	NIC Code of the Product/Service	% of total turnover of the Company
1	Foundry Machinery	29292	84.4%
2	Filters	29197	15.6%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:**

<b>Sl.No</b>	<b>Name</b>	<b>CIN/GLN</b>	<b>Holding/ Subsidiary</b>	<b>% of Shares held</b>	<b>Applicable section</b>
1	DISA Holding AG Kasernenstrasse 1, 8184 Bachenbulach Switzerland	Foreign Company	Holding	54.10	2(46)
2	DISA Holding A/S Hojager 8, Taastrup 2630 Denmark	Foreign Company	Holding	20.73	2(46)
3	Bhadra Castalloy Private Limited, 5 <sup>th</sup> Floor, Kushal Garden Arcade, 1A Peenya Industrial Area, Bangalore – 560058.	U27200KA2015PTC084976	Subsidiary	100.00	2(87)

IV SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)										
(i) Category -wise Share Holding										
	No. of Shares held at the beginning of the year – 01.04.2019				No. of Shares held at the end of the year – 31.03.2020				% change during the	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares		
<b>A. Promoters</b>										
(1) Indian	-	-	-	-	-	-	-	-	-	-
a) Individual/HUF	-	-	-	-	-	-	-	-	-	-
b) Central Govt.or State Govt.	-	-	-	-	-	-	-	-	-	-
c) Bodies Corporates	-	-	-	-	-	-	-	-	-	-
d) Banks/Fl	-	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-	-
<b>SUB TOTAL:(A) (1)</b>	-	-	-	-	-	-	-	-	-	-
<b>(2) Foreign</b>										
a) NRI- Individuals	-	-	-	-	-	-	-	-	-	-
b) Other Individuals										
c) Bodies Corporates	1088056	-	1088056	74.82	1088056	-	1088056	74.82		-
d) Banks/Fl	-	-	-	-	-	-	-	-		-
e) Any other	-	-	-	-	-	-	-	-		-
<b>SUB TOTAL (A) (2)</b>	<b>1088056</b>	-	<b>1088056</b>	<b>74.82</b>	<b>1088056</b>	-	<b>1088056</b>	<b>74.82</b>		-
<b>Total Shareholding of Promoter (A)= (A)(1)+(A)(2)</b>	<b>1088056</b>	-	<b>1088056</b>	<b>74.82</b>	<b>1088056</b>	-	<b>1088056</b>	<b>74.82</b>		-
<b>B. PUBLIC SHAREHOLDING</b>										
<b>(1) Institutions</b>										
a) Mutual Funds	141746	200	141946	9.76	142181	-	142181	9.78		0.02
b) Banks/Fl	-	-	-	-	-	-	-	-		-
c) Central govt	-	-	-	-	-	-	-	-		-
d) State Govt.	-	-	-	-	-	-	-	-		-
e) Venture Capital Fund	-	-	-	-	-	-	-	-		-
f) Insurance Companies	-	-	-	-	-	-	-	-		-
g) FPI	2000	-	2000	0.14	2000	-	2000	0.14		-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-		-
i) Others (specify)	-	-	-	-	-	-	-	-		-
<b>SUB TOTAL (B)(1):</b>	<b>143746</b>	<b>200</b>	<b>143946</b>	<b>9.90</b>	<b>144181</b>	-	<b>144181</b>	<b>9.91</b>		<b>0.02</b>
<b>(2) Non Institutions</b>										
a) Bodies corporates										
i) Indian	36914	501	37415	2.57	34717	201	34918	2.40		-0.17
ii) Overseas	-	-	-	-	-	-	-	-		
b) Individuals										
i) Individual shareholders holding nominal share capital upto Rs.1 lakh	126034	40259	166293	11.44	133272	23255	156527	10.76		-0.68
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh	-	-	-	-	-	-	-	-		
c) Others (specify)										
NRI	17829	300	18129	1.25	18035	200	18235	1.25		-
Clearing Member	66	-	66	0.00	465	-	465	0.03		0.03
Trust	300	-	300	0.02	300	-	300	0.02		-
IEPF	-	-	-	-	11523	-	11523	0.79		0.79
<b>SUB TOTAL (B)(2):</b>	<b>181143</b>	<b>41060</b>	<b>222203</b>	<b>15.28</b>	<b>198312</b>	<b>23656</b>	<b>221968</b>	<b>15.26</b>		<b>-0.02</b>
<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>324889</b>	<b>41260</b>	<b>366149</b>	<b>25.18</b>	<b>342493</b>	<b>23656</b>	<b>366149</b>	<b>25.18</b>		<b>0.00</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>										
	-	-	-	-	-	-	-	-		-
<b>Grand Total (A+B+C)</b>	<b>1412945</b>	<b>41260</b>	<b>1454205</b>	<b>100.00</b>	<b>1430549</b>	<b>23656</b>	<b>1454205</b>	<b>100.00</b>		<b>0.00</b>

i) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year - 01.04.2019			Shareholding at the end of the year - 31.03.2020			% change in shareholding during the year
		No of shares	% of total shares of the company	% of shares pledged/ encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged/ encumbered to total shares	
1	DISA HOLDING AG	786657	54.10	-	786657	54.10	-	-
2	DISA HOLDING A/S	301399	20.73	-	301399	20.73	-	-
	<b>Total</b>	<b>1088056</b>	<b>74.82</b>	<b>-</b>	<b>1088056</b>	<b>74.82</b>	<b>-</b>	<b>-</b>

(iii) Change in Promoters' Shareholding

There is no change in Promoters' Shareholding during the year

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

SLNO	NAME OF THE SHARE HOLDER & FOLIO NUMBER	SHAREHOLDING AT THE BEGINNING OF THE YEAR - 01.04.2019		CUMULATIVE SHAREHOLDING END OF THE YEAR - 31.03.2020	
		No. of Shares	% of Total Shares of the Company	No. of Shares	% of Total Shares of the Company
1	IN30378610001929 SBI SMALL CAP FUND	57365	3.94	70000	4.81
2	IN30016710049887 IDFC MULTI CAP FUND	76981	5.29	64781	4.45
3	1204720013676780 INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	0	0.00	11523	0.79
4	IN30012611261919 BANDA REAL ESTATE PRIVATE LIMITED	8590	0.59	7656	0.53
5	IN30005410067318 ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE	7400	0.51	7400	0.51
6	IN30012611266192 VMSALGAOCAR CORPORATION PRIVATE LIMITED	4911	0.34	4664	0.32
7	IN30317320219971 SUNITA AGGARWAL@	4085	0.28	4085	0.28
8	IN30115120313895 SUBODH NILKANTH PUROHIT #	3750	0.26	3855	0.27
9	IN30260310110882 INDUS SECURITIES PRIVATE LIMITED	3296	0.23	3296	0.23
10	IN30048423959525 ARA TRUSTEESHIP COMPANY PRIVATE LIMITED	4250	0.29	3225	0.22

@ - opening balance of 4085 shares were held in Folio Number -IN30047642233262

# - opening balance of 3750 shares were held in Folio Number - IN30047640893342

(v) Shareholding of Directors and Key Managerial Personnel:

Mr. Lokesh Saxena, Managing Director	10 shares
Mr. G. Prasanna Bairy, Company Secretary	4 shares

**V. INDEBTEDNESS:**

Indebtedness of the Company including interest outstanding/accrued but not due for payment – NIL.

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:**

A. Remuneration of Managing Director:

Sl. No.	Particulars of Remuneration	MINR
		Lokesh Saxena (MD)
1.	Gross salary (a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	11.66
	(b) Value of perquisites under Section 17(2) of Income Tax Act, 1961	0.43
	(c) Profits in lieu of salary under Section 17(3) of Income Tax Act, 1961	
2.	Stock Option	0
3.	Sweat Equity	0
4.	Commission as % of profit others	0 0
5.	Others	0.58
	<b>TOTAL (A)</b>	<b>12.67</b>

B. Remuneration of other Directors:

Sl. No.	Particulars of Remuneration	Name of Director			Total Amount (Rs. Million)
		Deepa Hingorani	Sanjay Arte	Shyamal Sinha	
1	Independent Directors ·Fee for attending Board /Committee meetings	0.32	0.35	0.09	0.76
	·Commission	0.40	0.40	0.39	1.19
	Total (1)	0.72	0.75	0.48	1.95
2	Other Non-Executive Directors				
	·Fee for attending Board/ Committee Meetings	0 0	0 0	0 0	0 0
	Commission	0	0	0	0
	Total (2)	0	0	0	0
	<b>TOTAL (B) = (1+2)</b>	<b>0.72</b>	<b>0.75</b>	<b>0.48</b>	<b>1.95</b>

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Name of KMP (Rs Million)		Total Amount (Rs. Million)
		Amar Nath Mohanty (CFO)	G.Prasanna Bairy (CS)	
1.	Gross salary (a) Salary as per provisions contained in Section 17(1) of the Income-Tax Act, 1961	8.47	3.35	11.82
	(b) Value of perquisites under Section 17(2) Income-Tax Act, 1961	0.29	0.01	0.30
2.	Stock Option	0	0	0
3.	Sweat Equity	0	0	0
4.	Commission as % of profit others	0	0	0
		0	0	0
5.	Others	0.47	0.28	0.76
	Total (C)	9.23	3.64	12.88

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

The Company, its Directors or other officers were not subject to penalties/punishment/ Compounding of offences during the Financial Year 2019-20.